

# Aseizmičko projektovanje prema zadatim ponašanjima:

Šta je to?  
Kako se primenjuje u praksi?  
I zašto?

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# Performance-based seismic design: What is it? How to do it? And why?

Prof. Dr. Božidar Stojadinović  
ETH Zürich

# Design (as a verb)

- To design is to intentionally create a plan (or specification) for the construction of an object or system or for the implementation of an activity or process

(Wikipedia, 2019)



<https://theblog.adobe.com/how-the-design-process-has-evolved/>

# Design in the Presence of (Natural) Hazards

- Hazard is a source of danger:
  - A situation which poses a level of threat to life, health, property or the environment  
(Wikipedia, 2019)
- Consequences of natural hazards are of primary design concern:
  - The designed object, process or system must be able to sustain its intended function in the presence of hazard or recover it shortly thereafter



[www.weirdhut.com](http://www.weirdhut.com)

# Risk-Informed Design

$$\begin{aligned}\text{Risk} &= \int_{\text{lifetime}} P_{\text{robability}}(\text{hazard event}) \\ &\quad \times I_{\text{mpact}}(\text{event occurrence}) dt \\ &= \int_{\text{lifetime}} (\text{Hazard} \times \text{Performance}) dt\end{aligned}$$

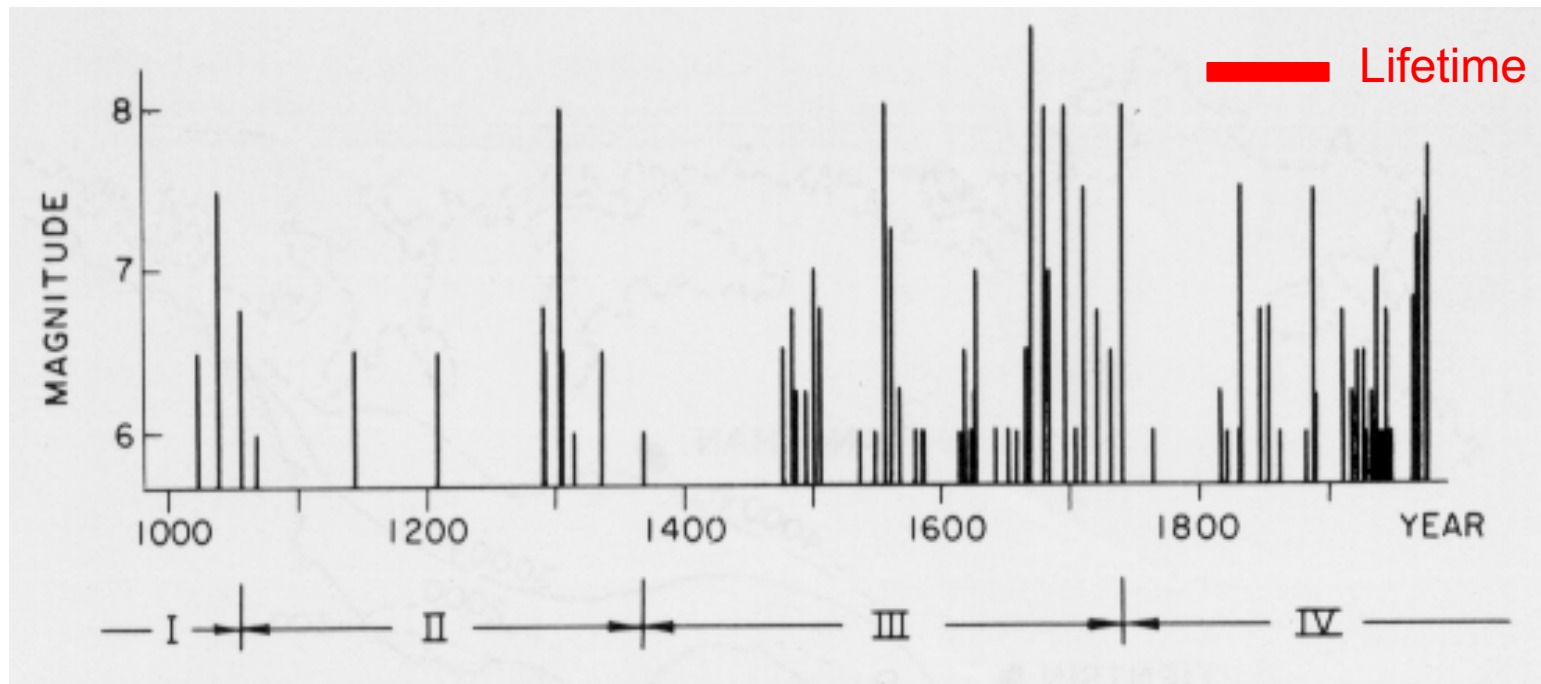
- Risk-informed design is critically concerned with the performance of objects, processes and systems during their useful life



Beichuan, China, 2008

# Risk-Informed Seismic Design

How many earthquakes occur in a lifetime of a structure?

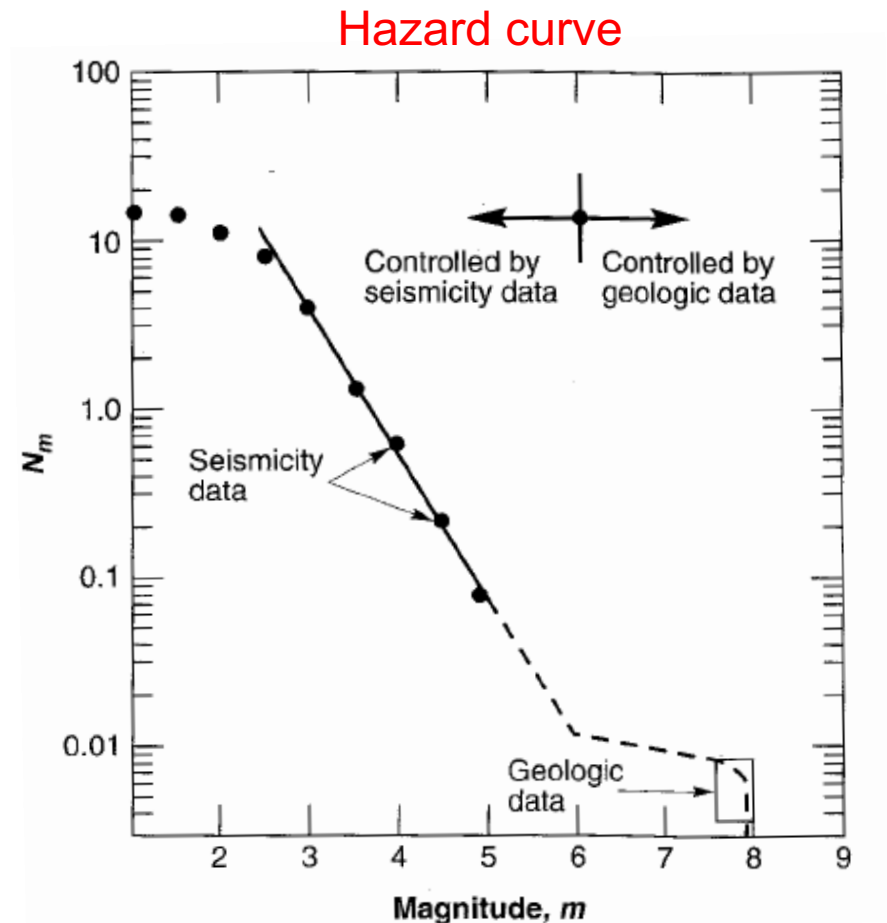


Large ( $M > 6$ ) earthquakes in North China tectonic province

# Risk-Informed Seismic Design: Defining the Seismic Hazard

A seismically active location or a region experiences:

- Many small earthquakes
- A few large earthquakes
- Very few very large earthquakes that are at the physical limits of the earthquake sources and the soils seismic waves propagate through

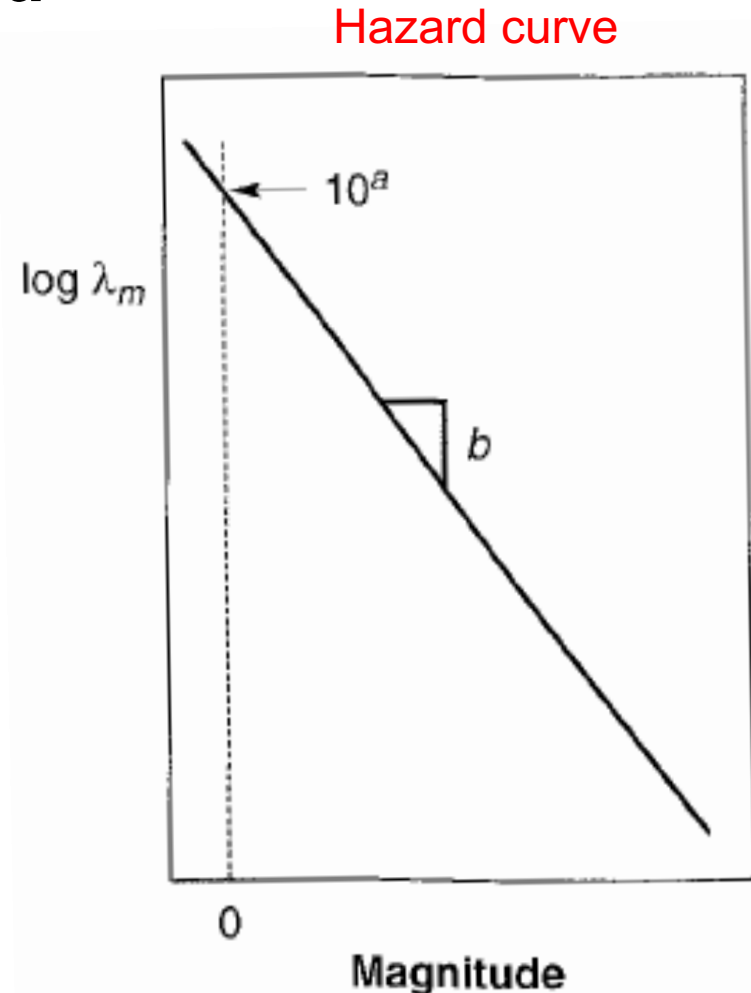


# Risk-Informed Seismic Design: Defining the Seismic Hazard

- Mean annual rate of exceedance of an earthquake magnitude  $m$  over time  $T_R$

$$\lambda_m = \frac{N_{eq}(M > m | t < T_R)}{T_R}$$

- Return period  $T_R = \frac{1}{\lambda_m}$
- Gutenberg and Richter (1944) earthquake recurrence law:
- $\log \lambda_m = a - bm$



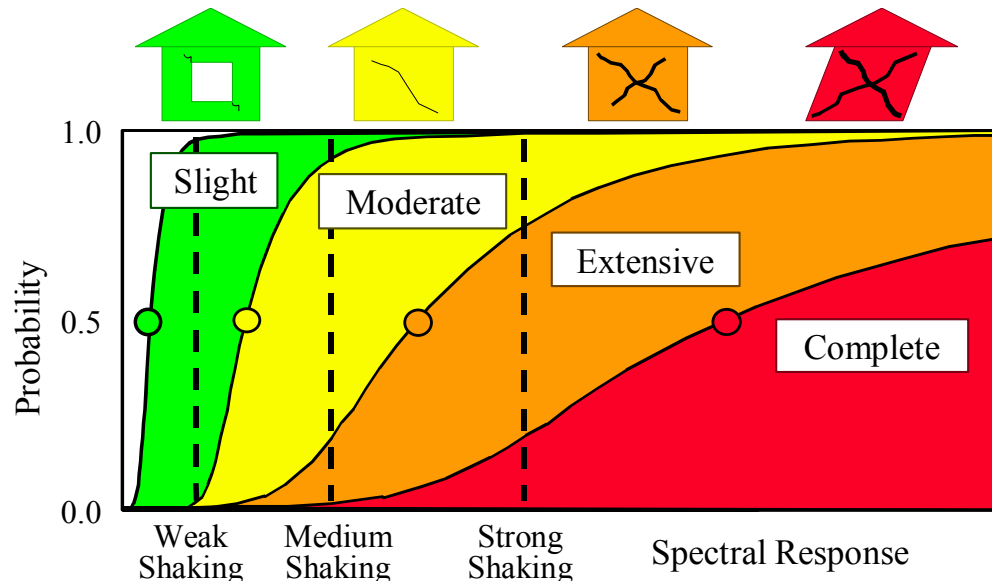


# Risk-Informed Seismic Design: Defining the Earthquake Consequences



# Risk-Informed Seismic Design: Defining the Earthquake Consequences

- Consequences occur only if an earthquake occurs first!
  - Vulnerability functions are probabilities of exceedance of a given damage state, conditioned on the occurrence of an earthquake of a certain intensity



# Risk-Informed Seismic Design: Defining the Earthquake Consequences

Ultimately consequences of earthquakes are expressed using common additive quantities:

- Human casualties:
  - Injuries (of various degree)
  - Deaths
- Monetized losses:
  - Direct:
    - Repairs and retrofits
  - Indirect
    - Loss of use, function, business

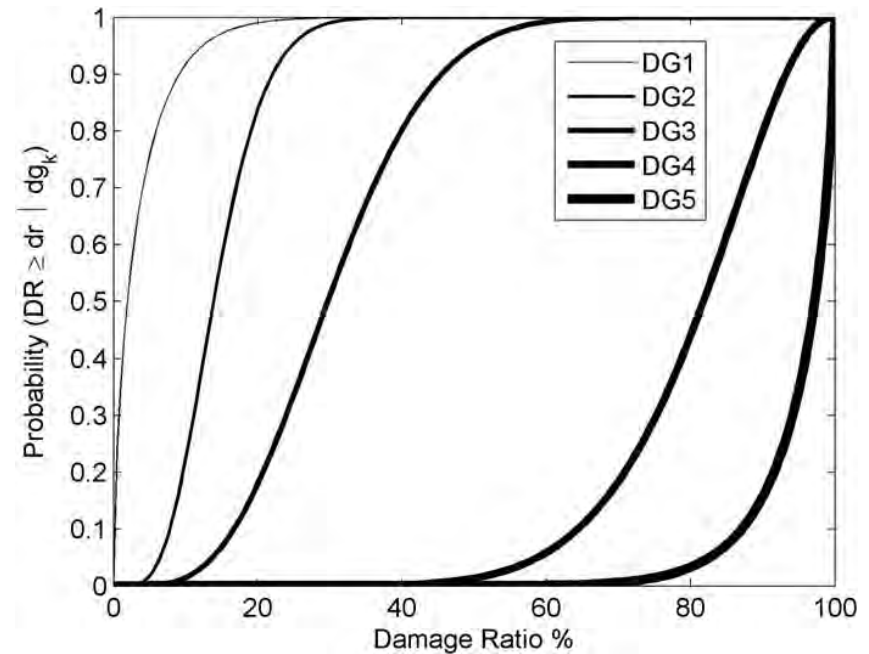


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Olive View hospital, 1971 San Fernando earthquake

# Risk-Informed Seismic Design: Defining the Earthquake Consequences

- Losses are a consequence of earthquake-induced damage:
  - Loss functions are probabilities of exceedance of a given loss amount, conditioned on the occurrence of certain damage
- Direct and indirect losses are monetized using construction management and actuarial principles



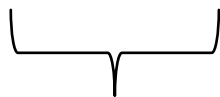
# Risk-Informed Seismic Design:

## Quantifying the Earthquake Consequences

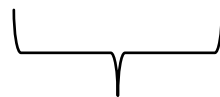
- Losses are incurred in one earthquake event over all damage states

$$P(S \leq s | im) =$$

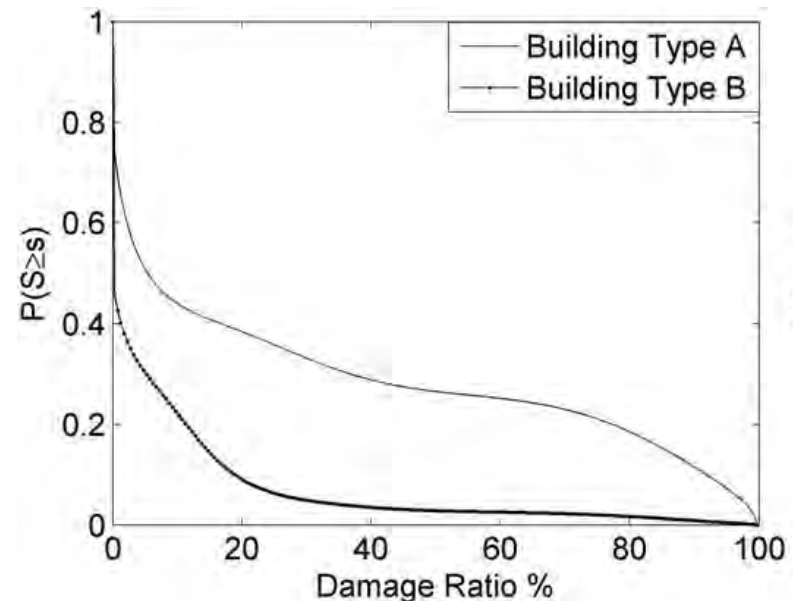
$$\sum_{DS_k} \underbrace{G_2(S \leq s | ds_k)}_{\text{Loss function}} \underbrace{dG_1(DS_k | im)}_{\text{Vulnerability function}}$$



Loss  
function



Vulnerability  
function



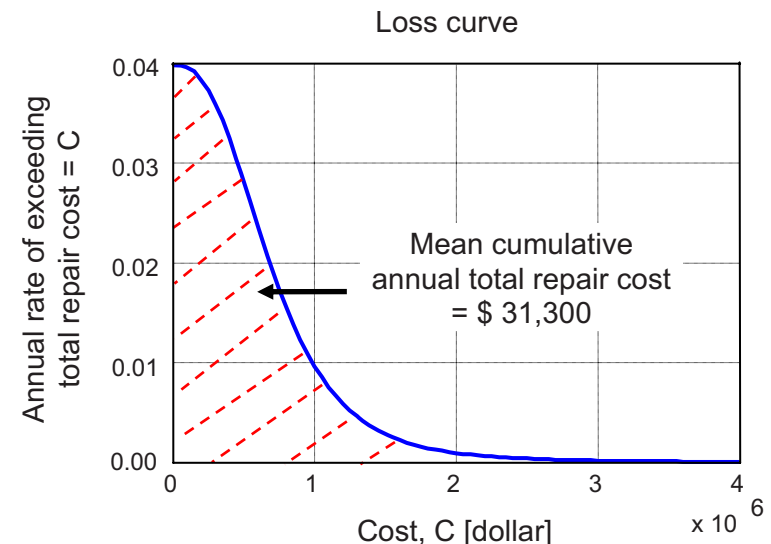
# Risk-Informed Seismic Design:

## Quantifying the Earthquake Consequences

- Losses accumulate over the life time of a structure

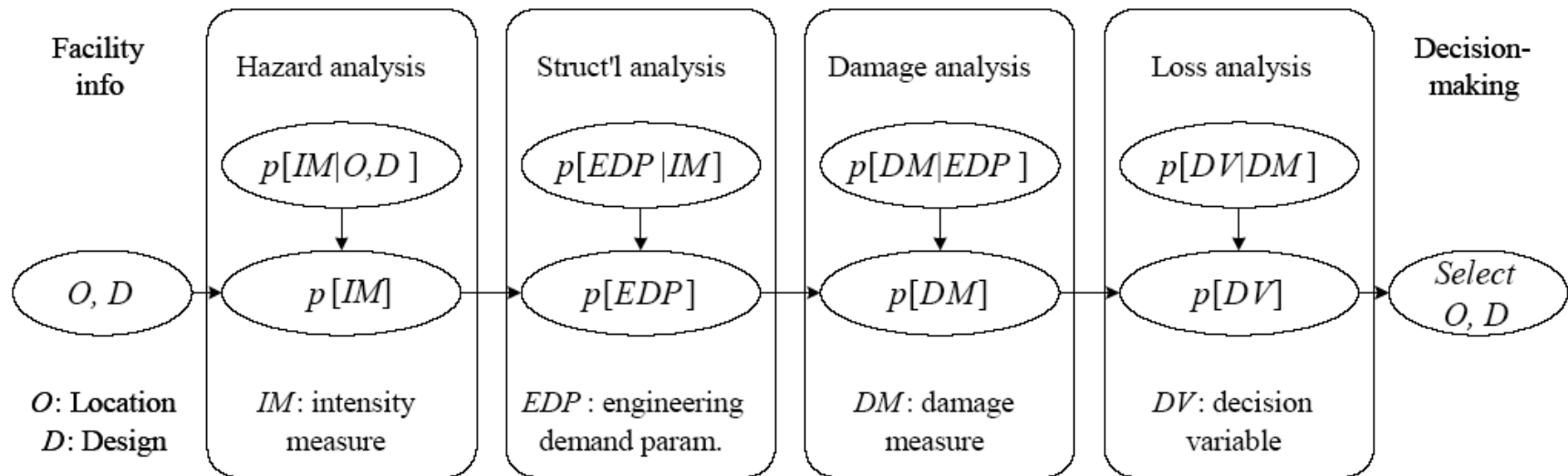
$$P(S > s) = \frac{\sum_{i=\min(im)}^{\max(im)} \left( 1 - P(LOSS \leq loss \mid im) \right) * \lambda_i}{\sum_{i=\min(im)}^{\max(im)} \lambda_i}$$

- Loss curve represents the mean annual rate of exceedance of a loss threshold for a given design in a given seismic hazard environment



# Risk-Informed Seismic Design: Quantifying the Earthquake Consequences

- PEER Center approach:
  - Decomposition and re-composition of the total probability integral



<https://peer.berkeley.edu/research/pbee-methodology>

# Risk-Informed Seismic Design: Quantifying the Earthquake Consequences

$$v(DV) = \iiint G[DV|DM] dG[DM|EDP] dG[EDP|IM] d\lambda[IM]$$

Impact

Performance (Loss) Models and Simulation

Hazard

IM – Intensity Measure

EDP – Engineering Demand Parameter

DM – Damage Measure

DV – Decision Variable

$v(DV)$  – Probabilistic Description of Decision Variable

(e.g., Mean Annual Probability \$ Loss > 50% Replacement Cost)

<https://peer.berkeley.edu/research/pbee-methodology>



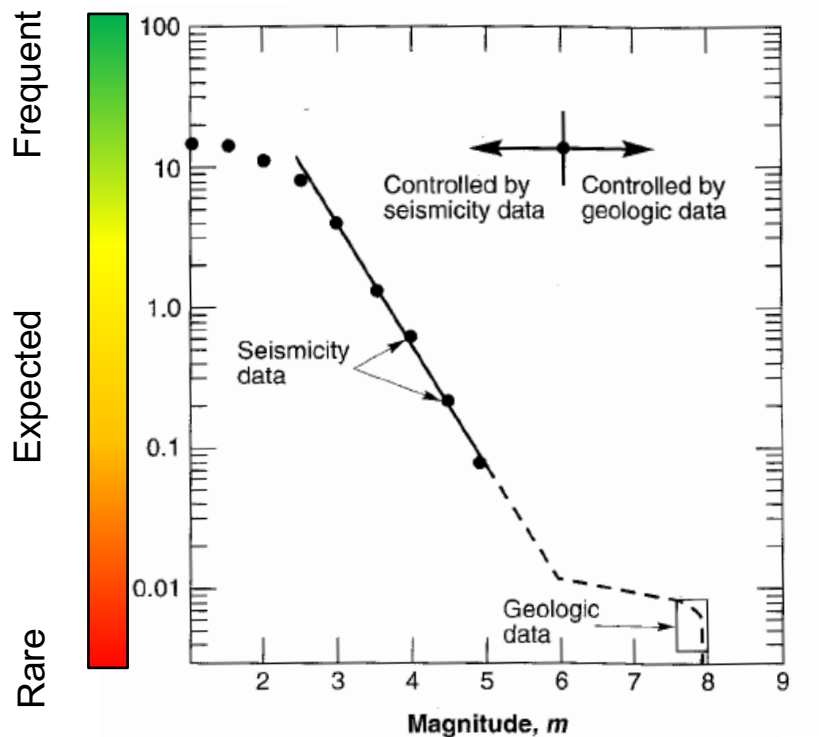
# Risk-Informed Seismic Design:

## Defining Seismic Performance Objective(s)

| Performance Objective                                                               |                                                                                                        | Acceptance criteria                                                    |                                                                         |
|-------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|-------------------------------------------------------------------------|
| Seismic Hazard Exposure                                                             | Performance Goal                                                                                       | Deterministic Evaluation                                               | Probabilistic Evaluation                                                |
| How often should this performance goal be challenged during the life of a structure | What is the desired performance of a structure:<br>Safety, utility, damage, repair cost and time, etc. | Based on quantifiable local and global engineering response parameters | Z% confidence that there is an X% probability of exceedance in Y years. |

# Risk-Informed Seismic Design: Defining Seismic Performance Objective(s)

## Seismic Hazard



## Seismic Risk



# Defining Seismic Performance Objectives

| Performance goal<br>Seismic hazard | Operational | Immediate Occupancy | Life Safety | Collapse Prevention |
|------------------------------------|-------------|---------------------|-------------|---------------------|
| Frequent                           |             |                     |             |                     |
| Expected                           |             |                     |             |                     |
| Rare                               |             |                     |             |                     |

Ordinary Building

# Defining Seismic Performance Objectives

| Seismic hazard \ Performance goal | Operational | Immediate Occupancy | Life Safety | Collapse Prevention |
|-----------------------------------|-------------|---------------------|-------------|---------------------|
| Frequent                          |             | ●                   |             |                     |
| Expected                          |             |                     | ●           |                     |
| Rare                              |             |                     |             | ●                   |

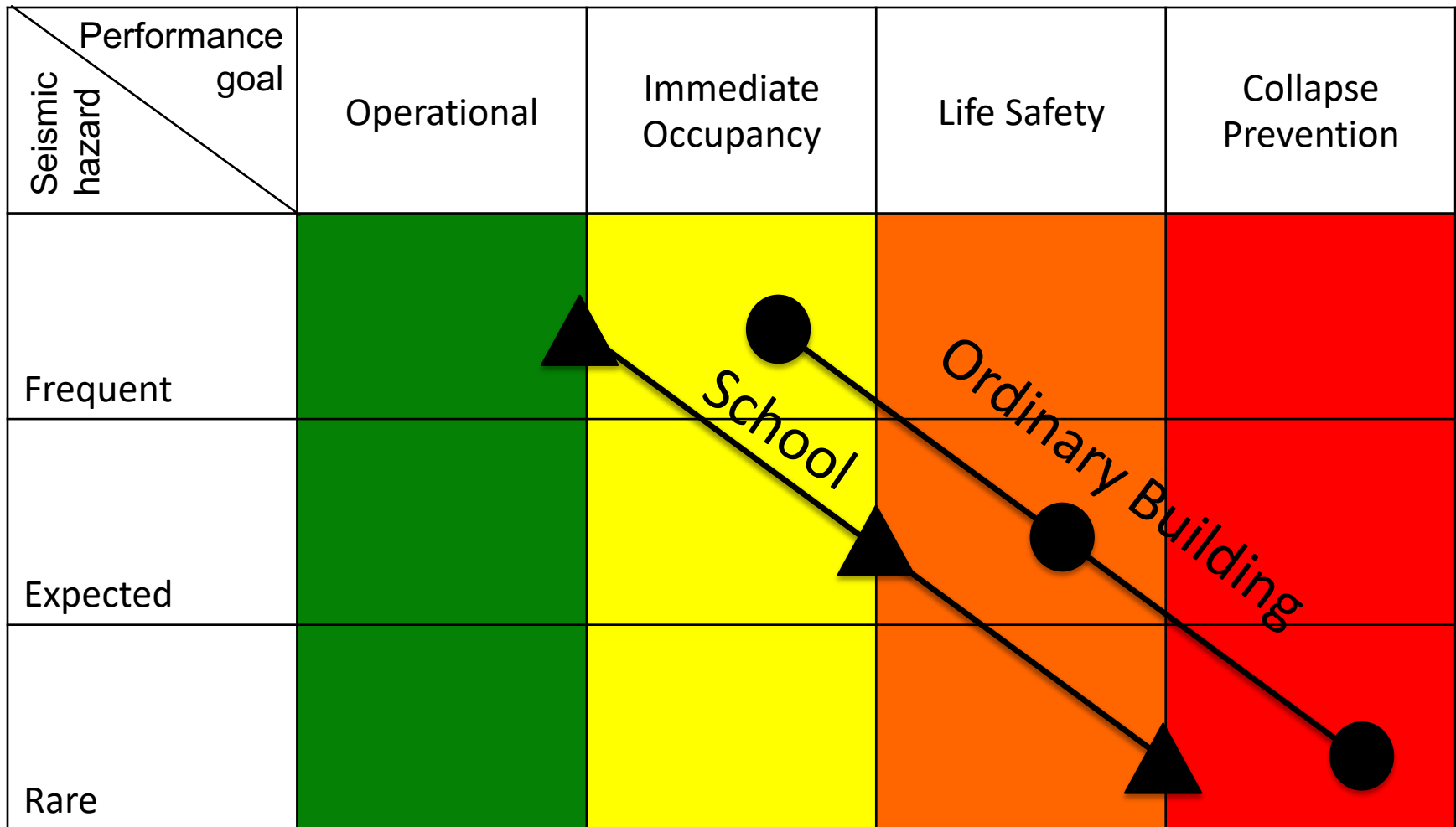
Ordinary Building

# Defining Seismic Performance Objectives

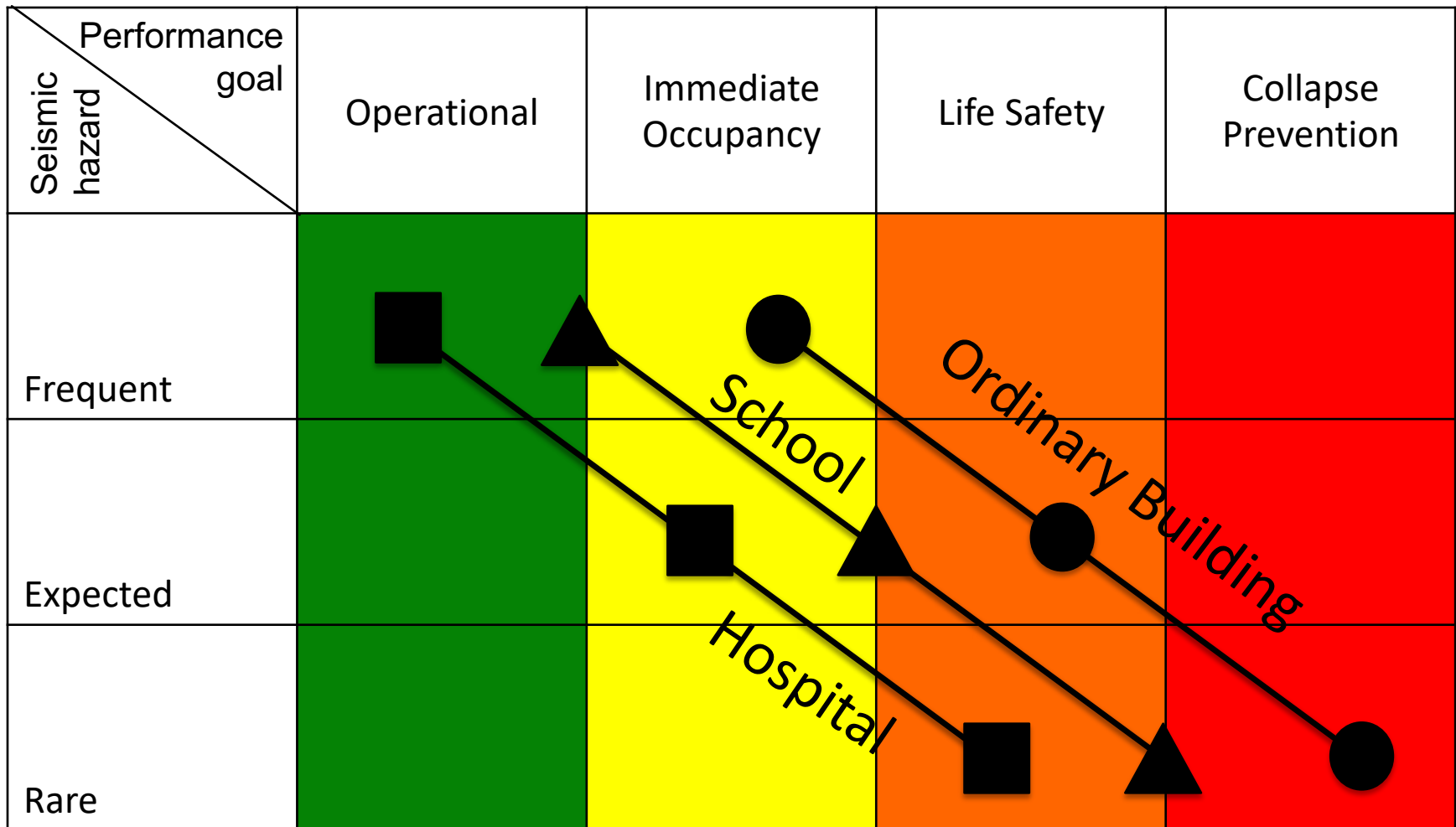
| Seismic hazard \ Performance goal | Operational | Immediate Occupancy | Life Safety | Collapse Prevention |
|-----------------------------------|-------------|---------------------|-------------|---------------------|
| Frequent                          |             |                     |             |                     |
| Expected                          |             |                     |             |                     |
| Rare                              |             |                     |             |                     |

Ordinary Building

# Defining Seismic Performance Objectives



# Defining Seismic Performance Objectives

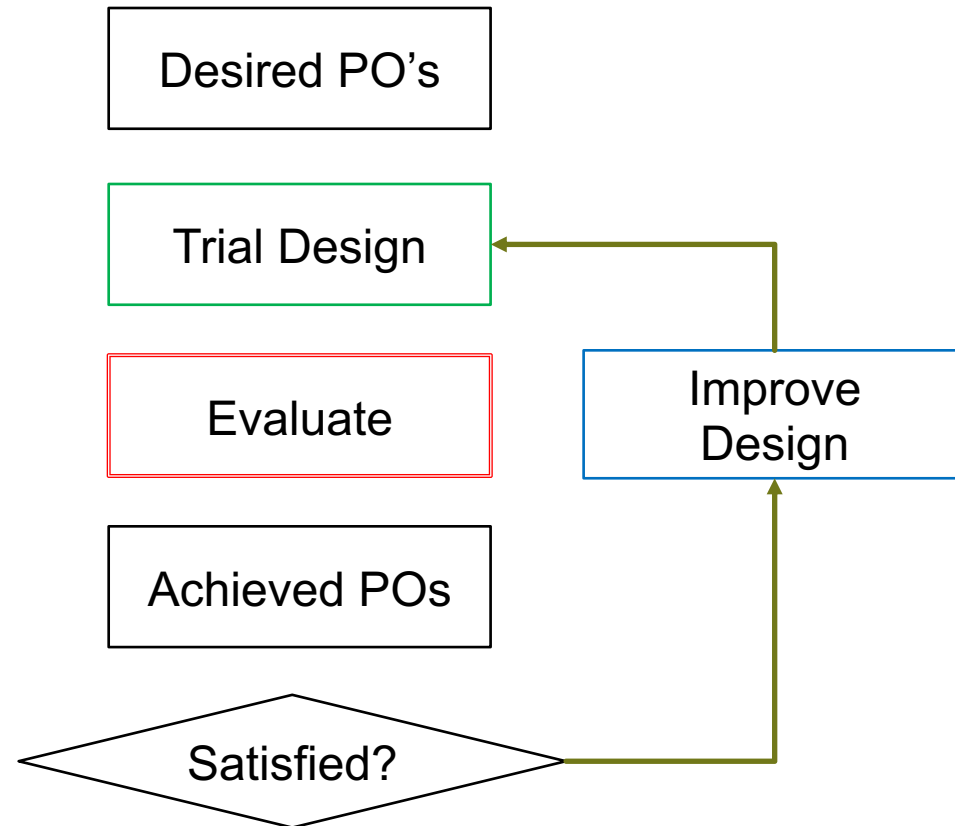


# Performance-Based Risk-Informed Seismic Design

- Directly address the needs of the owner(s) of the user(s) of the structure, process or system in the life time of their risk exposure environment(s)

| Performance Goal | Operational | Immediate Occupancy | Life Safety | Collapse Prevention |
|------------------|-------------|---------------------|-------------|---------------------|
| Ground Motion    |             |                     |             |                     |
| Frequent         | ■           | ●                   |             |                     |
| Expected         |             | ■                   | ●           |                     |
| Rare             |             |                     | ■           | ●                   |

*Hospital* (diagonal line from Frequent/Operational to Expected/Immediate Occupancy)  
*School Building* (diagonal line from Expected/Operational to Rare/Life Safety)  
*Ordinary Building* (diagonal line from Frequent/Immediate Occupancy to Rare/Collapse Prevention)





# Practical Performance-Based Seismic Design

| Seismic hazard \ Performance goal | Operational | Immediate Occupancy | Life Safety | Collapse Prevention |
|-----------------------------------|-------------|---------------------|-------------|---------------------|
| Frequent                          |             |                     |             |                     |
| Expected                          |             |                     |             |                     |
| Rare                              |             |                     |             |                     |

Ordinary Building

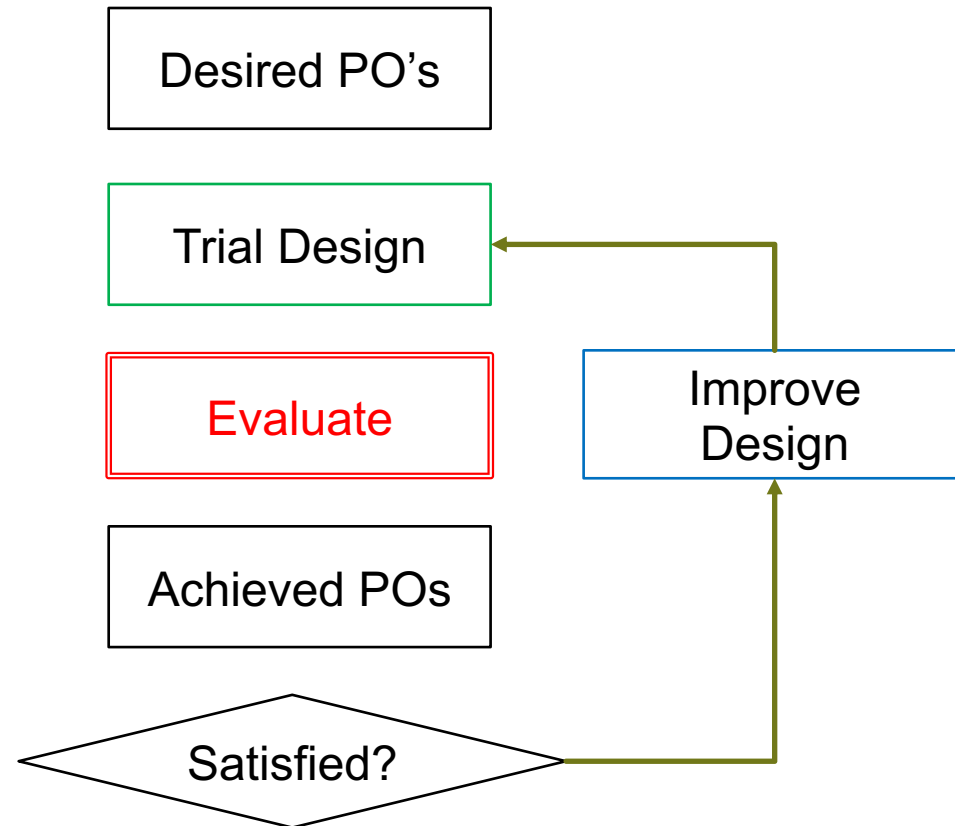
# Practical Performance-Based Seismic Design:

## Life-Safety

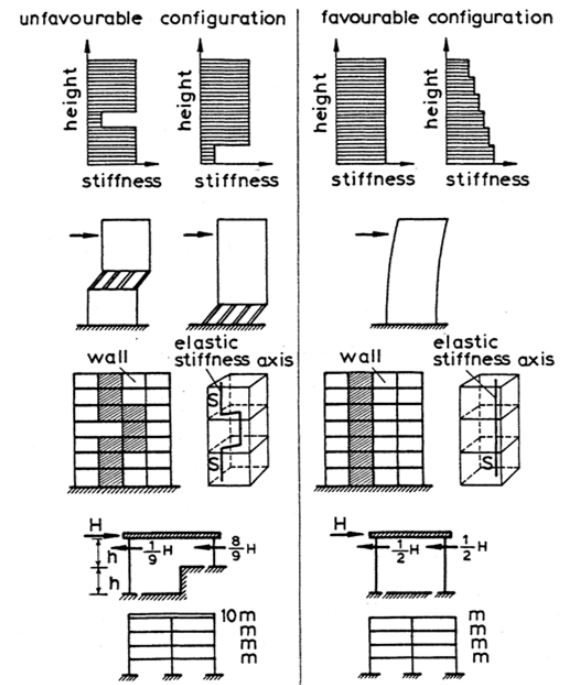
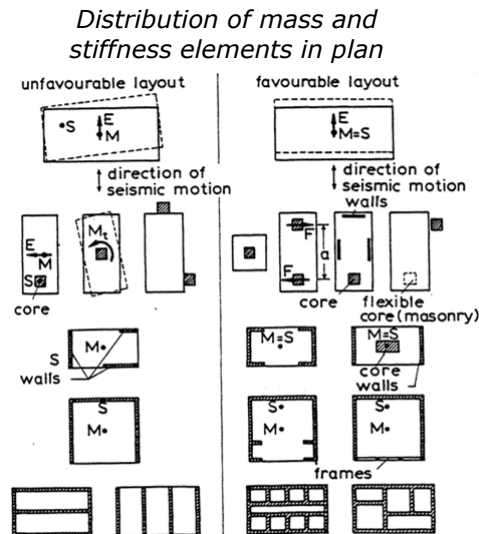
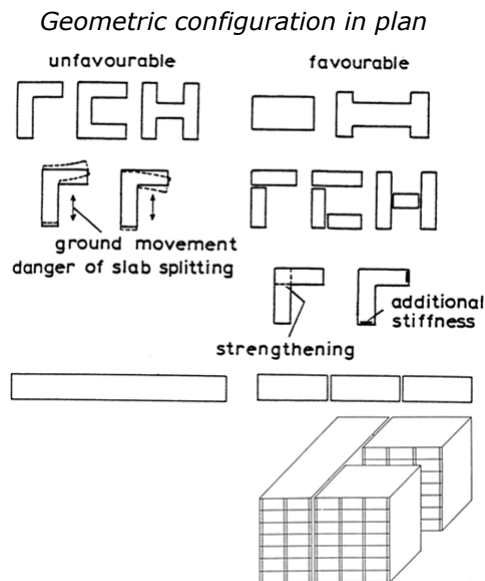
| Performance Objective                                                        |                                                                                                                       | Acceptance criteria                                                    |                                                                            |
|------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|----------------------------------------------------------------------------|
| Seismic Hazard Exposure                                                      | Performance Goal                                                                                                      | Deterministic Evaluation                                               | Probabilistic Evaluation                                                   |
| Once in a life time<br><br>10% probability of exceedance in a 50 year period | Life safety:<br>Significant, possibly irreparable structural and nonstructural damage, some injuries, no loss of life | Structure and element drift, deformation ductility and strength limits | 90% confidence that there is an 10% probability of exceedance in 50 years. |

# Practical Performance-Based Seismic Design: Life-Safety

- How to do this?
  - Focus on seismic **performance evaluation** of a given design
- Three approaches:
  - Indicator-based evaluation
    - Use a number of building characteristics to verify performance
  - Quasi-dynamic evaluation
    - Use a “simple” method to verify performance
  - Dynamic evaluation
    - Use a “no-compromise” method to verify performance

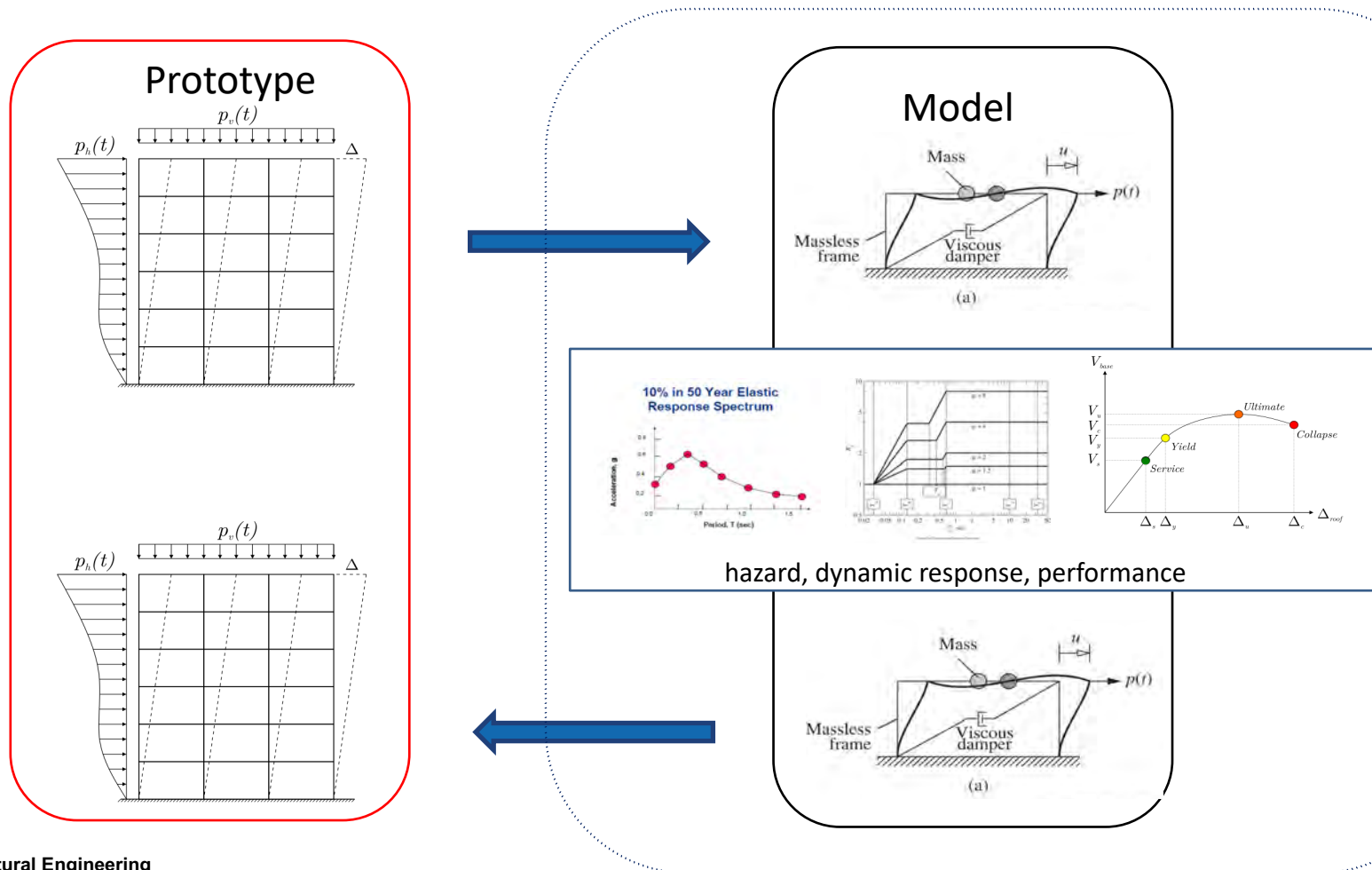


# Life-Safety PO Evaluation: Indicator-Based Approach



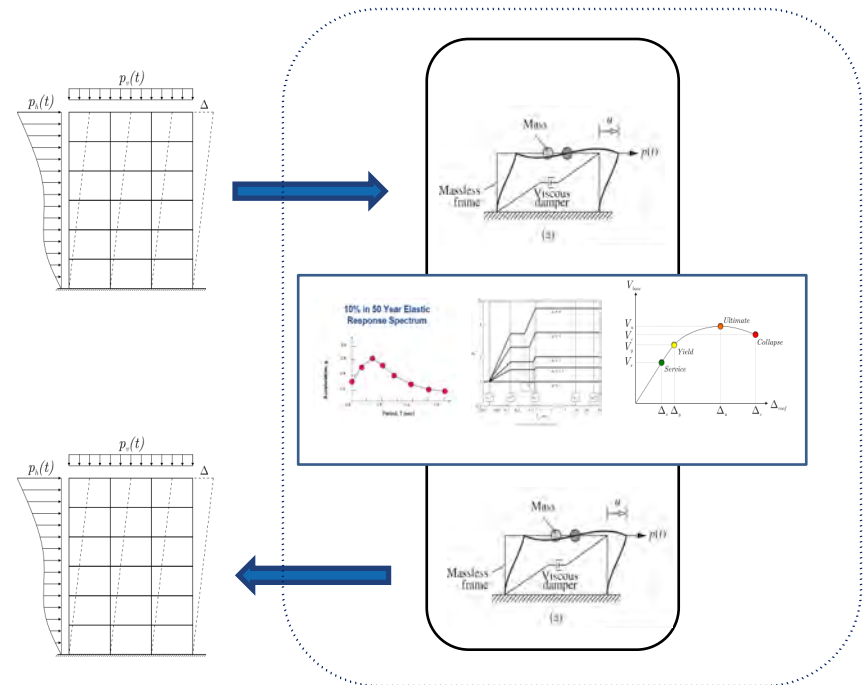
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# Life-Safety PO Evaluation: Dynamic and Quasi-Dynamic Approach



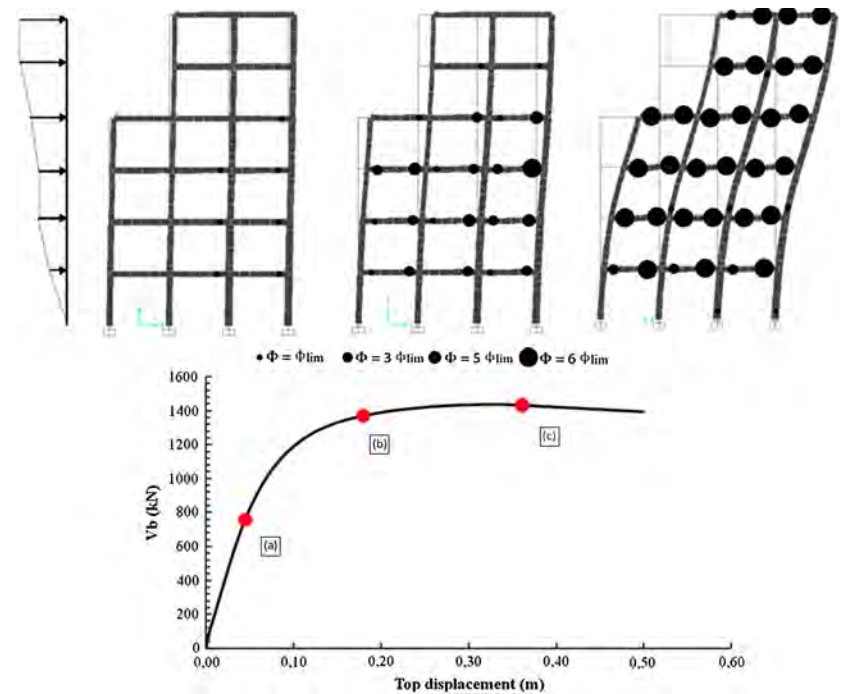
# Life-Safety PO Evaluation: Quasi-Dynamic Approach

- Develop a model of the structure
- Define a “simple” system that conserves the most important dynamic characteristics of the structure
- Examine the seismic response of the “simple” system for a given seismic hazard:
  - Find the deformation and force demands
- Convert the outcomes to the model of the structure



# Life-Safety PO Evaluation: Nonlinear Static Pushover Analysis

- Belongs to the family of seismic response spectrum methods
- Two uses:
  - In **evaluation**, used to determine the force and deformation capacities of the structures
  - In design, used to determine the force and deformation demands on the structures for a given design seismic hazard



Cimellaro et. al, 2014

# Life-Safety PO Evaluation: Nonlinear Static Pushover Analysis

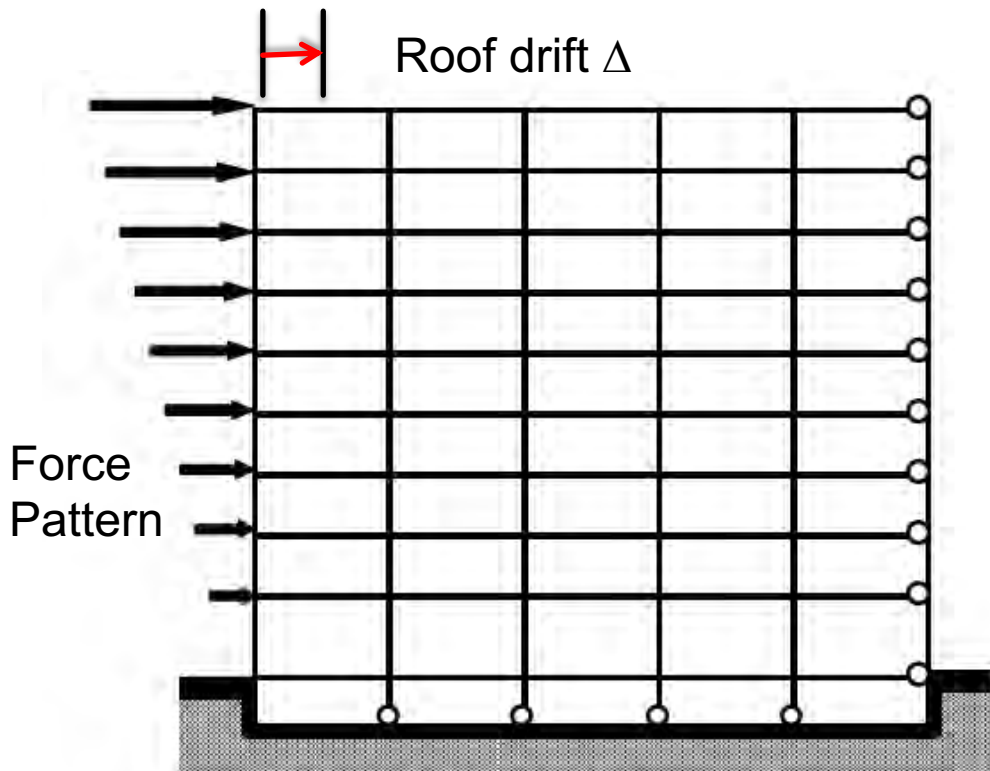


Figure 4-11 1994 UBC 9-Story Building

←  
Compute Base Shear  $V_b$

- Apply a lateral force pattern representative of the first-(fundamental)-mode response
- Push the structure sideways until the model of the structure collapses
- Plot the relation between the structure base shear and roof drift:
  - This is the nonlinear static pushover curve



# Life-Safety PO Evaluation: Nonlinear Static Pushover Analysis

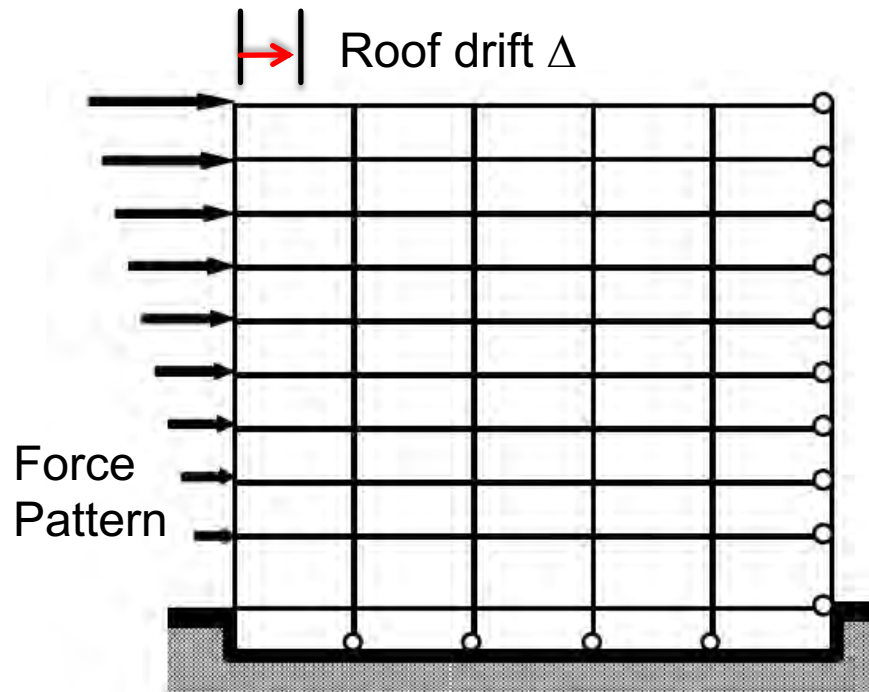
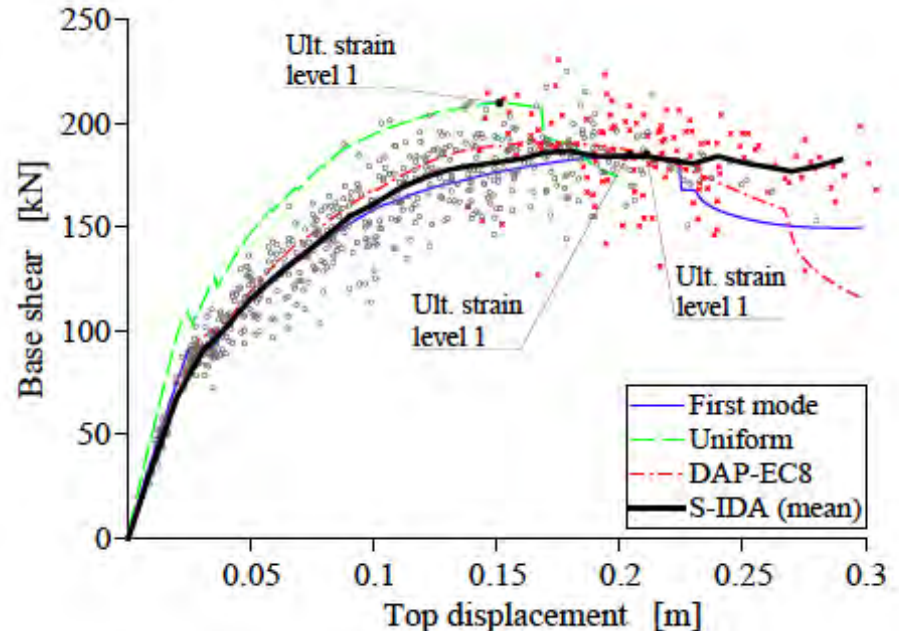


Figure 4-11 1994 UBC 9-Story Building

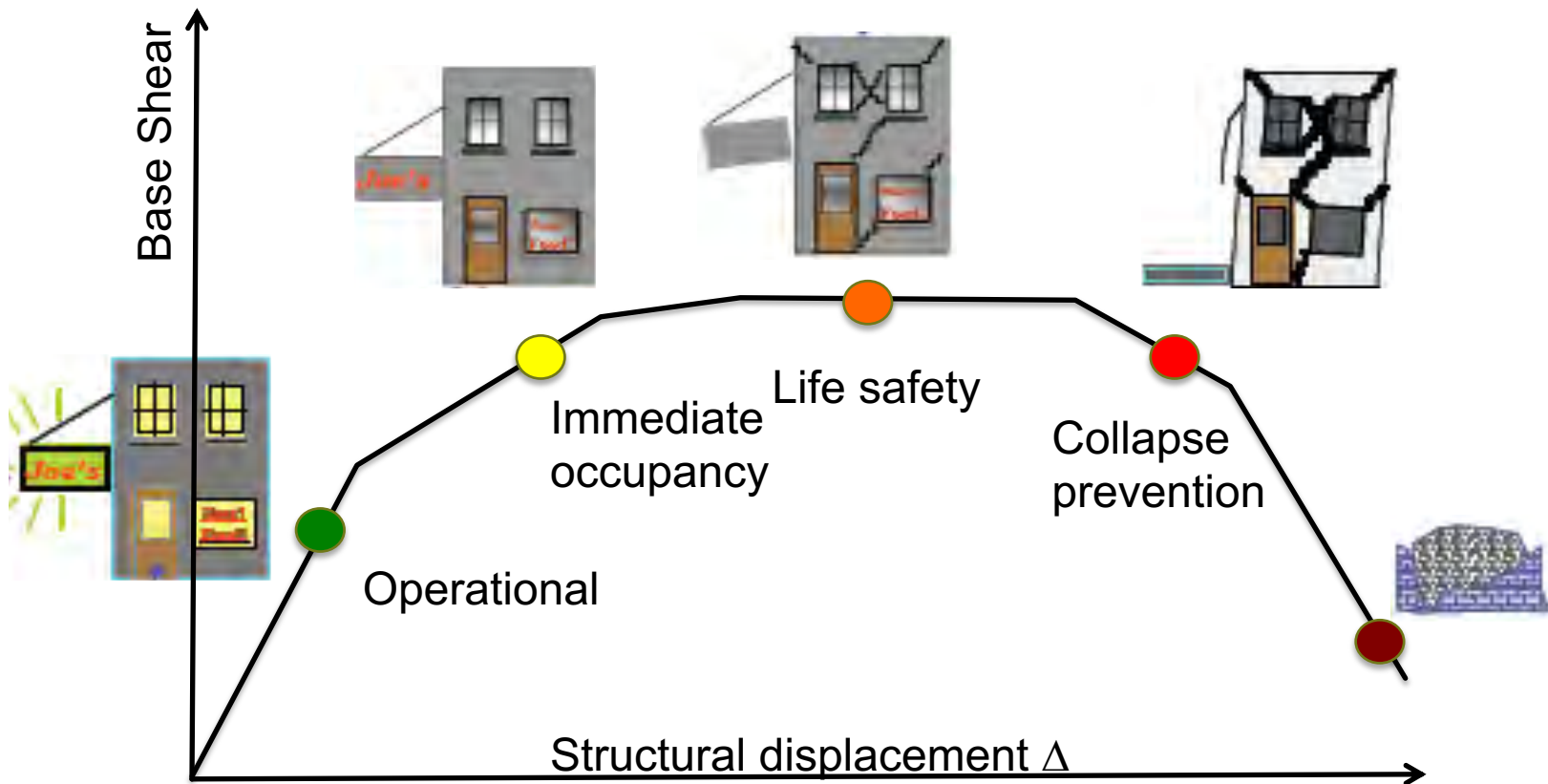
Compute Base Shear  $V_b$



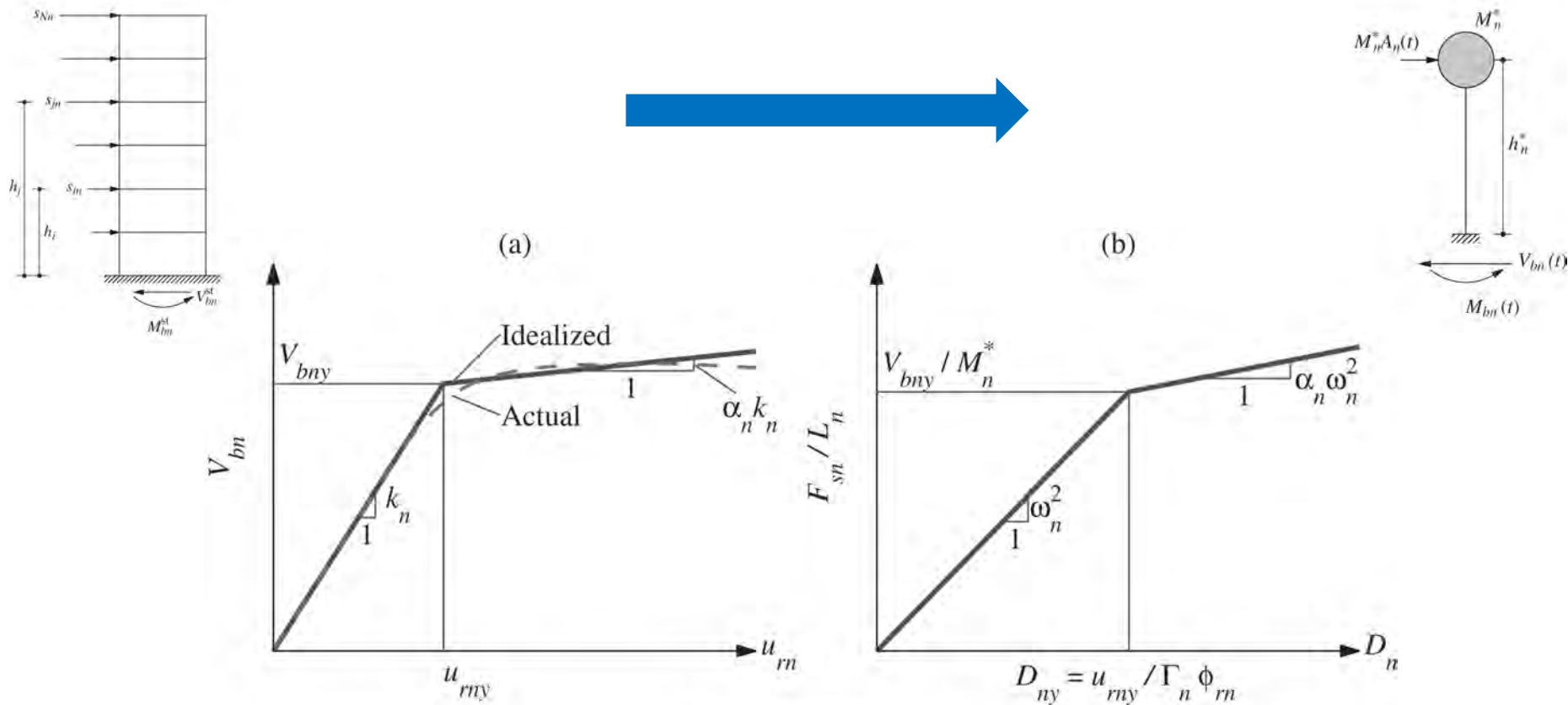
Diotalleivi, et al. U. di Bologna

# Life-Safety PO Evaluation: Nonlinear Static Pushover Curve

- This is the capacity of the structure



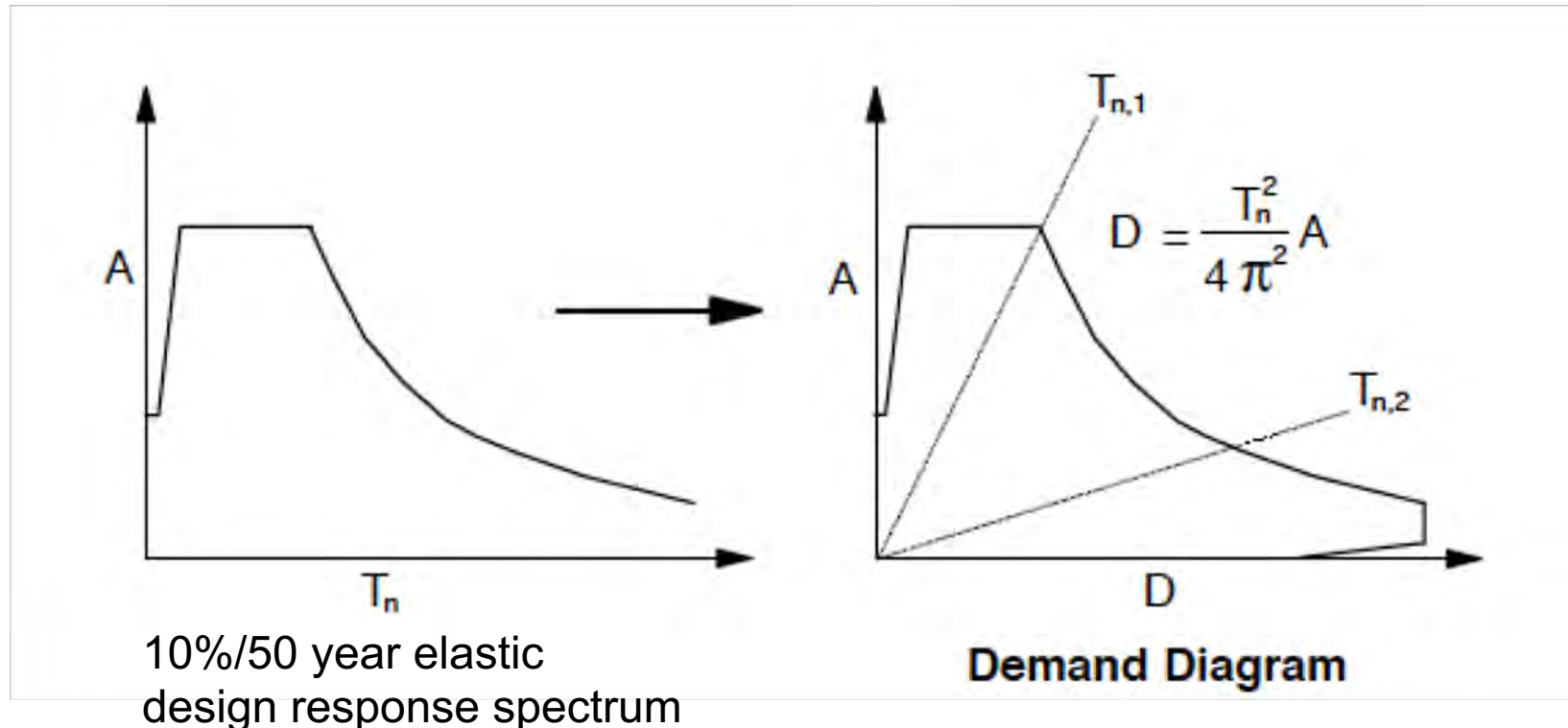
# Life-Safety PO Evaluation: Capacity Spectrum Method



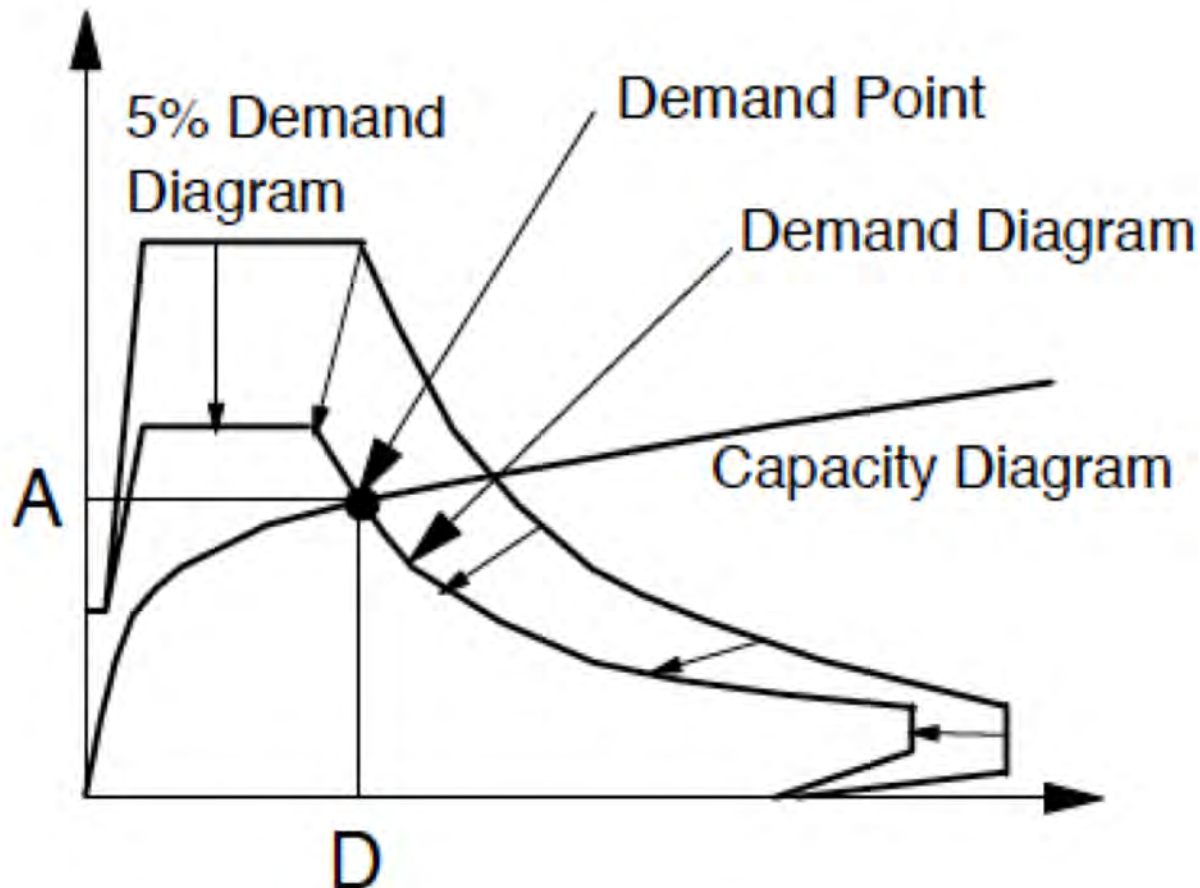
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# Life-Safety PO Evaluation: Elastic Seismic AD Response Spectrum

- This is the demand for the structure

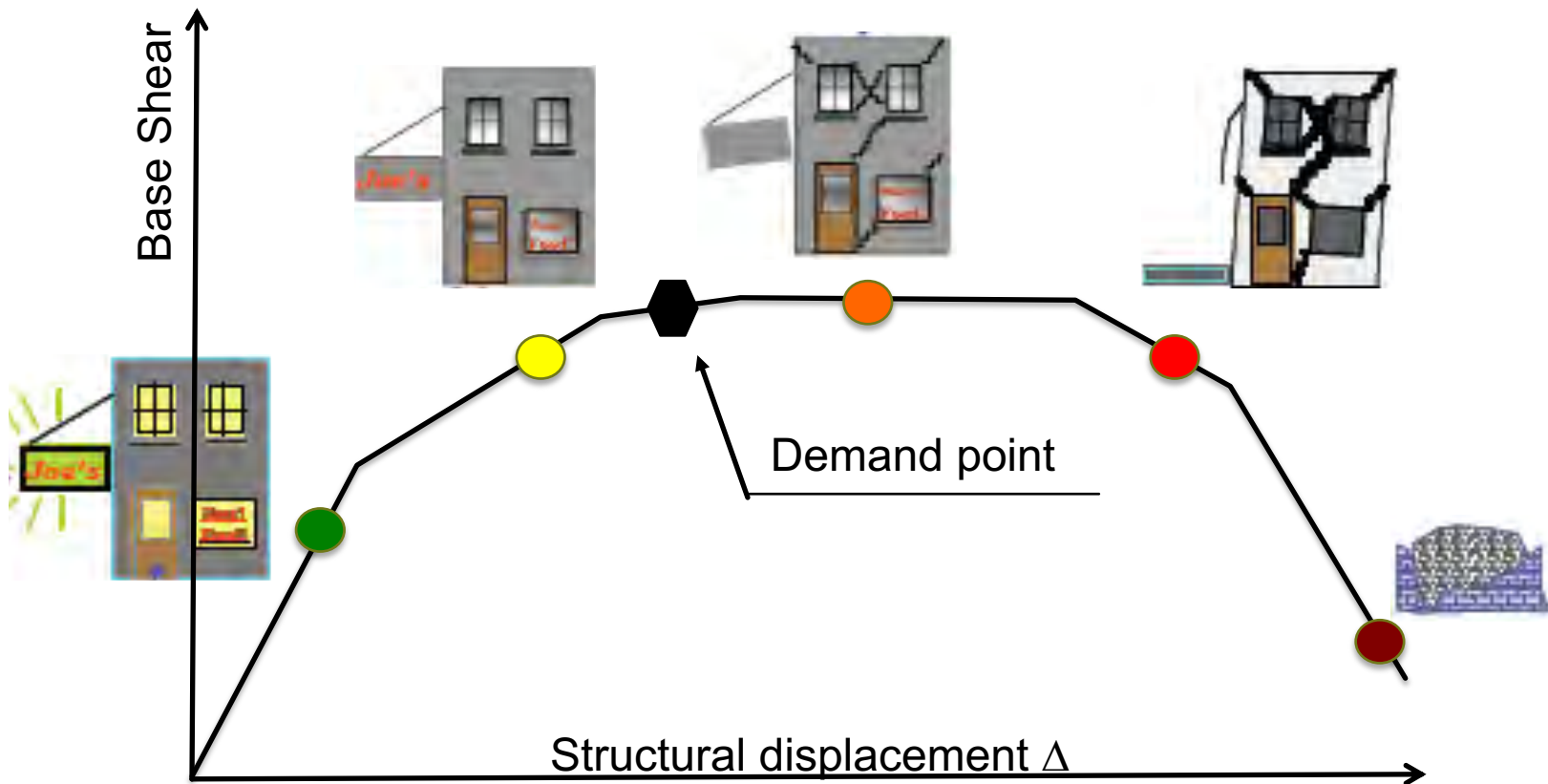


# Life-Safety PO Evaluation: Capacity Spectrum Method



# Life-Safety PO Evaluation: Nonlinear Static Pushover Curve

- Locate the Demand Point on the Capacity Pushover Curve



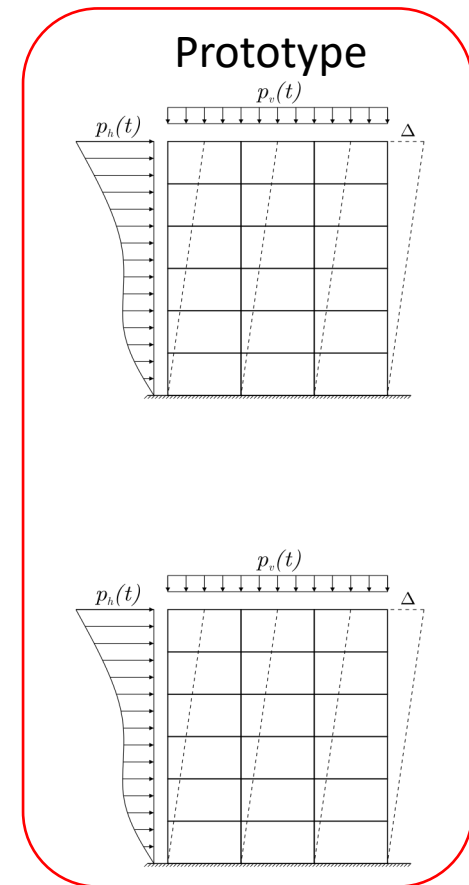
# Practical Performance-Based Seismic Design:

## Life-Safety

| Performance Objective                                                        |                                                                                                                       | Acceptance criteria                                                    |                                                                            |
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| Seismic Hazard Exposure                                                      | Performance Goal                                                                                                      | Deterministic Evaluation                                               | Probabilistic Evaluation                                                   |
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# Life-Safety PO Evaluation: Dynamic Approach

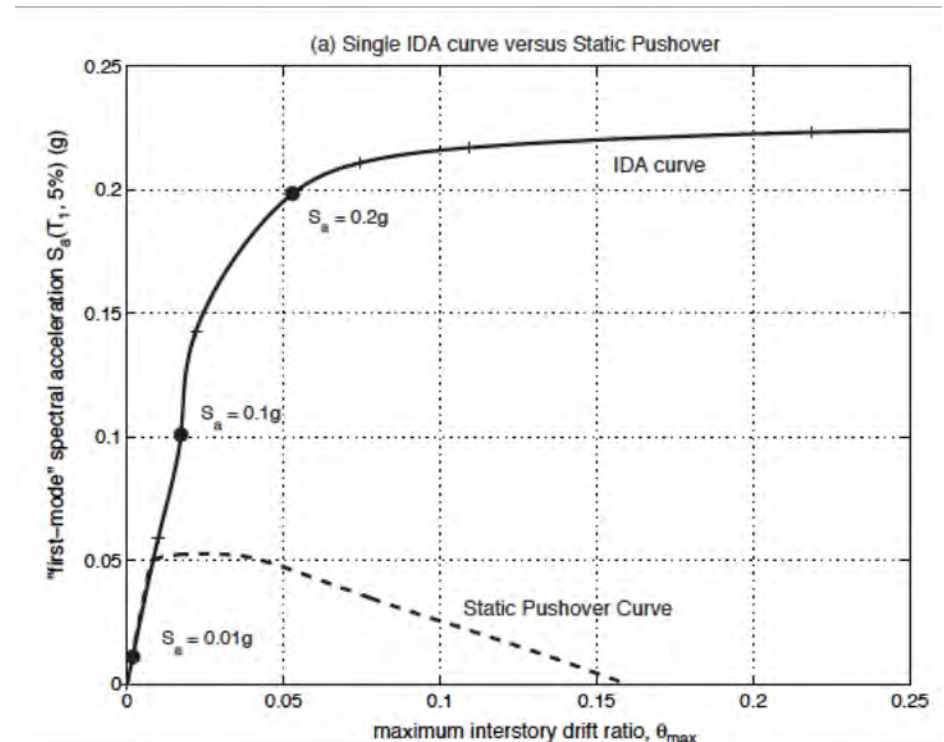
- Develop a model of the structure
- Select a suite of ground motions to represent the seismic hazard exposure of the structure
- Perform a (large) number of non-linear time history analyses to compute the pertinent response quantities
- Compute the statistical distributions of these quantities





# Life-Safety PO Evaluation: Incremental Dynamic Analysis

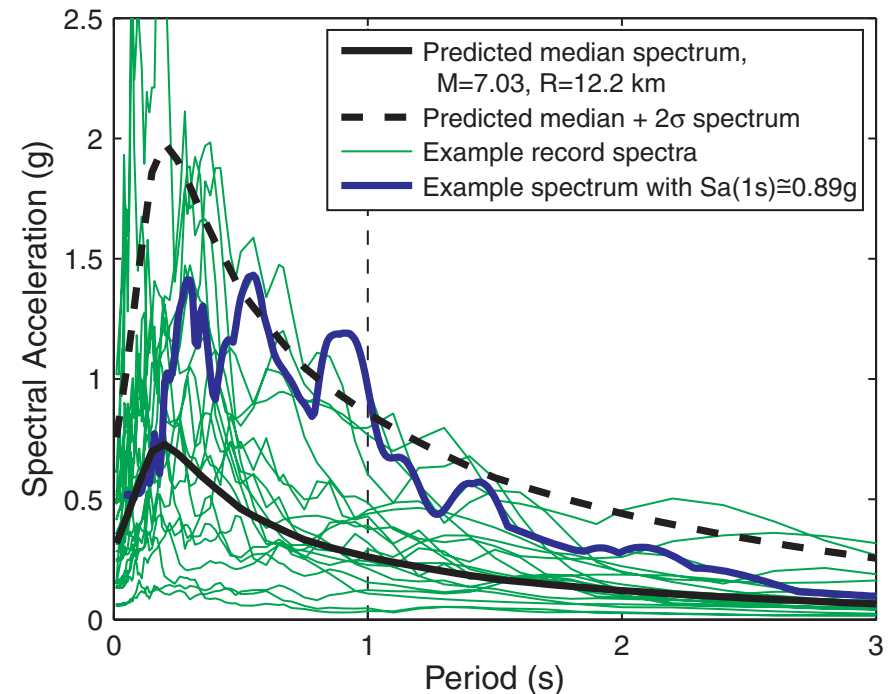
- A “combination” of static pushover analysis and dynamic time-history analysis:
  - Select a ground motion record
  - Conduct a time history analyses with incrementally upscaled ground motion record
  - Plot peak base shear and roof drift points on force-deformation (pushover) graph



Vamvatsikos & Cornell, 2002

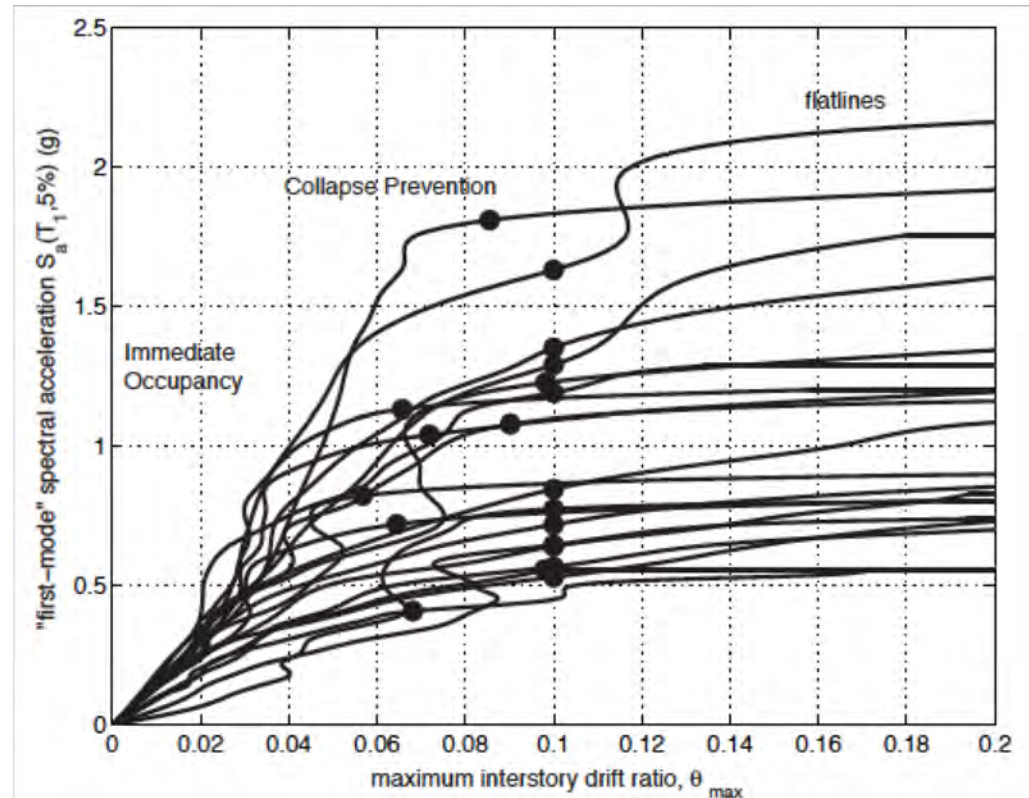
# Life-Safety PO Evaluation: Incremental Dynamic Analysis

- Select ground motion time histories to represent the seismic hazard environment
- Prefer unscaled ground motions recorded in the same or in similar seismic hazard regions
- Avoid excessive scaling:
  - Up to 4 times may be OK
  - Choose strong records, too!



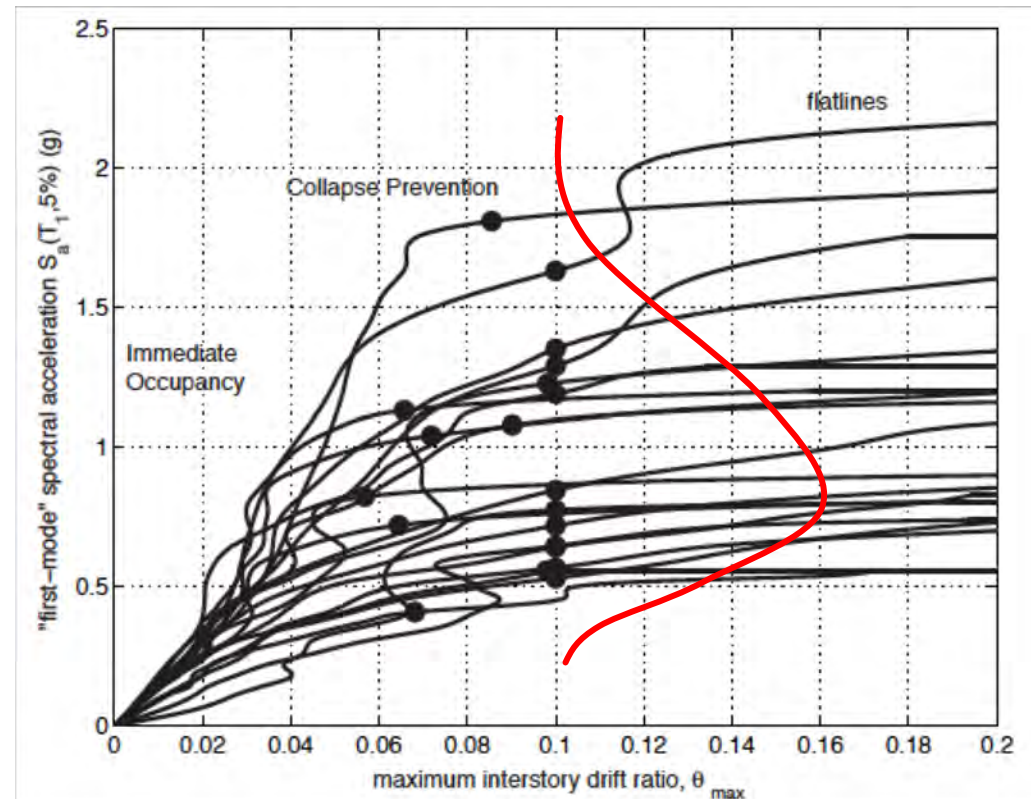
# Life-Safety PO Evaluation: Incremental Dynamic Analysis

- Statistically analyze the IDA outcomes to determine the probability distribution of earthquake intensity for a desired performance objective, defined by roof or interstory drift



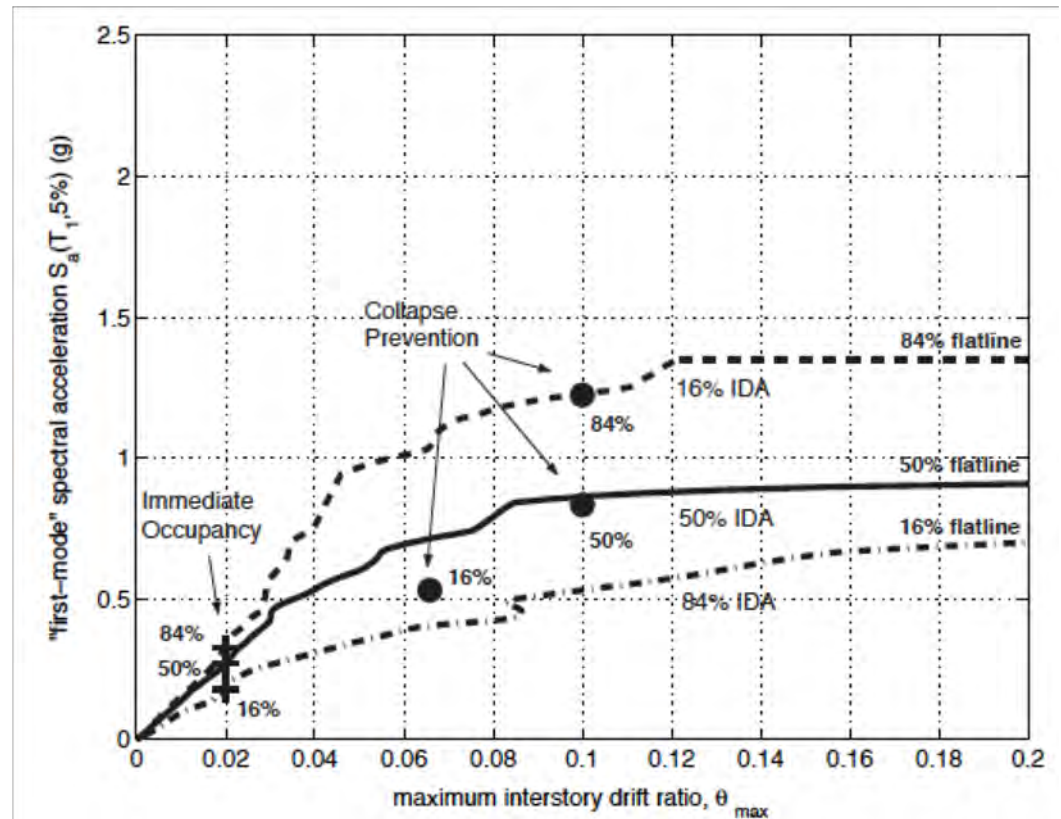
# Life-Safety PO Evaluation: Incremental Dynamic Analysis

- Determine the confidence that the probability of exceedance of the performance objective is low enough over the observed life time of the structure



# Life-Safety PO Evaluation: Incremental Dynamic Analysis

- Determine the confidence that the probability of exceedance of the performance objective is low enough over the observed life time of the structure



# Practical Performance-Based Seismic Design:

## Life-Safety

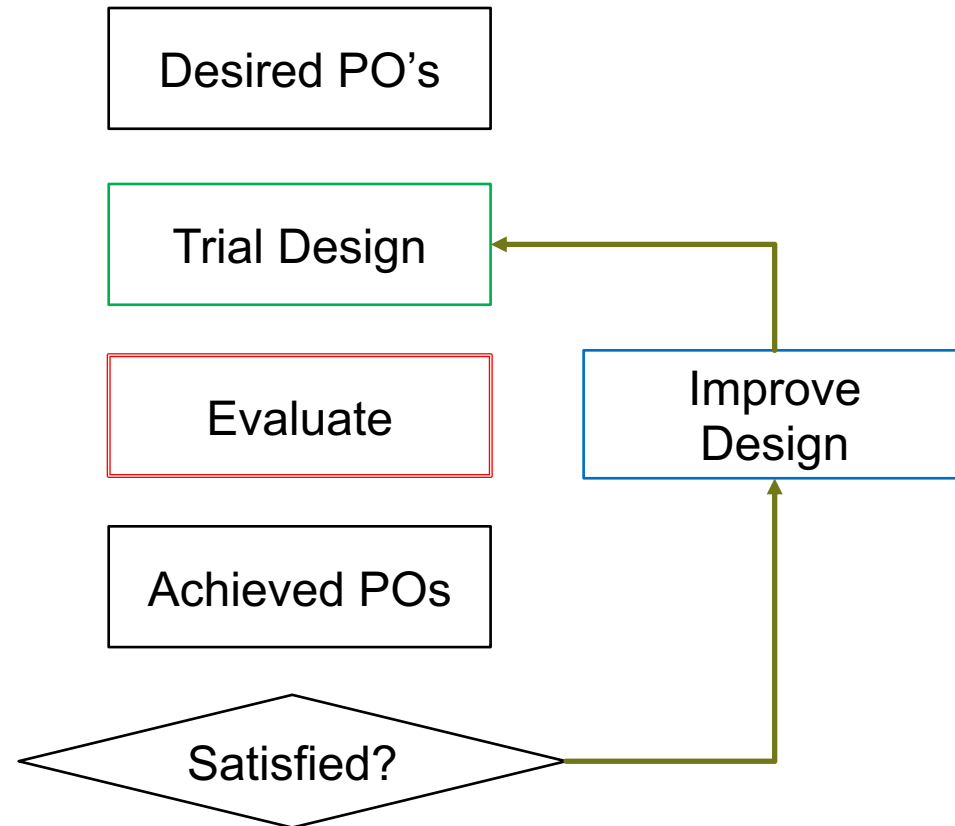
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# Performance-Based Risk-Informed Seismic Design

- Directly address the needs of the owner(s) of the user(s) of the structure, process or system in the life time of their risk exposure environment(s)

| Performance Goal | Operational | Immediate Occupancy | Life Safety | Collapse Prevention |
|------------------|-------------|---------------------|-------------|---------------------|
| Ground Motion    |             |                     |             |                     |
| Frequent         | ■           | ●                   |             |                     |
| Expected         |             | ■                   | ●           |                     |
| Rare             |             |                     | ■           | ●                   |

*Hospital* (diagonal line from Frequent/Operational to Expected/Immediate Occupancy)  
*School Building* (diagonal line from Expected/Operational to Rare/Life Safety)  
*Ordinary Building* (diagonal line from Frequent/Operational to Rare/Collapse Prevention)





# Performance-Based Risk-Informed Seismic Design

## Shortcomings:

- It is quite demanding:
  - Good knowledge of the seismic hazard environment
  - Excellent non-linear modeling and analysis skills
  - Ability to transfer probabilistic conclusions into design actions
- It is time-consuming
- It is difficult to convince investors to finance this above-code minimum work

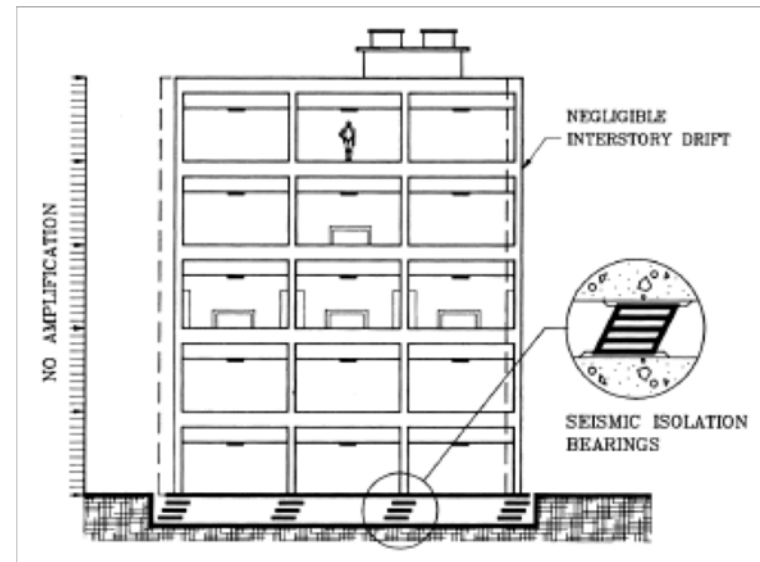
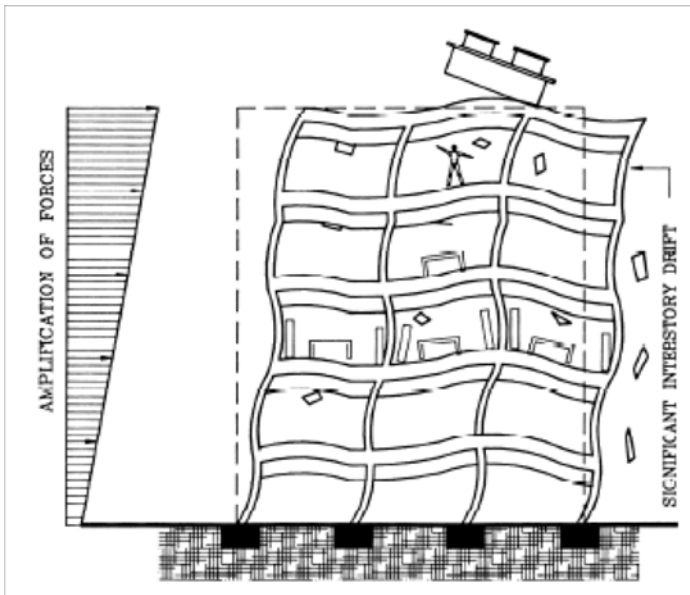
## Benefits:

- It rewards an engineer with better knowledge about and confidence in the good behavior of the structure
- It allows an extension from engineering to financial decisions:
  - Makes it possible to address the true risk exposure of the owner or the user
- Explicitly differentiates between excellent and average designs



# Performance-Based Risk-Informed Seismic Design

- Rewards desiring with seismic response modification techniques to enhance performance
  - Base isolation, damping, buckling-restrained bracing...



# Performance-Based Design

- Design to achieve specified results rather than to adhere to particular technologies or prescribed means  
(Moehle, EERI Distinguished Lecture, 2005)
- Transcends seismic design
- Applies to “engineering for extremes”:
  - Fire protection engineering
  - High-wind engineering
  - High-water engineering (e.g. tsunami)

# Engineering for Extremes

- To design is to intentionally create an object or system or process that can sustain and/or quickly recover from extreme events



<https://theblog.adobe.com/how-the-design-process-has-evolved/>

# Links

- Seismic hazard:
  - <http://www.efehr.org/en/home/>
  - <http://www.efehr.org/en/hazard-data-access/hazard-spectra/>
  - <http://www.share-eu.org>
  - <http://www.sera-eu.org/en/home/>
  - <https://www.globalquakemodel.org>
  - <http://earthquake.usgs.gov/hazards/designmaps/>
- Swiss resources:
  - <http://www.seismo.ethz.ch/en/knowledge/seismic-hazard-switzerland/>
  - <http://www.seismo.ethz.ch/en/knowledge/seismic-risk-switzerland/>
  - <http://www.seismo.ethz.ch/en/knowledge/seismic-risk-switzerland/seismic-risk-tool/>

# Links

- PEER Center tools for seismic hazard and risk analysis:
  - <https://simcenter.designsafe-ci.org/research-tools/regional-workflow/>
  - <https://simcenter.designsafe-ci.org/research-tools/ee-uq-application/>
  - <https://simcenter.designsafe-ci.org/research-tools/pbe-application/>
- FEMA P58 seismic performance assessment tools
  - <https://www.fema.gov/media-library/assets/documents/90380>
- GEM OpenQuake platform:
  - <https://www.globalquakemodel.org/openquake>

# Links

- Useful software:
  - OpenSees: <http://opensees.berkeley.edu/index.php>
    - Python interpreter: <https://openseespydoc.readthedocs.io/en/latest/>
    - GUI in GiD: <http://gidopensees.rclab.civil.auth.gr>
- IDA resources:
  - <http://users.ntua.gr/divamva/software.html>
- Companies doing seismic risk assessment
  - <https://www.avantstructural.com>
  - <http://www.hbrisk.com>